



IFIN

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of

Applicant(s) : Ridgway et al
Serial No. : 10/658,218
Filed : September 9, 2003
Title : **ELECTROOPTIC MODULATORS AND WAVEGUIDE DEVICES
INCORPORATING THE SAME**
Docket : OPI 0008 PA/41004.16
Confirm. No. : 2716
Art Unit : 2874

**Mail Stop Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450**

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on August 5, 2004.

Sir:

James E. Beyer

Reg. No. 39,564

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT
UNDER 37 CFR §§ 1.56, 1.97, AND 1.98

Applicants submit herewith patents, publications, and other information of which they are aware, which they believe may be material, as defined in 37 CFR §1.56(b), to the examination of this application and in respect of which there may be a duty to disclose in accordance with 37 CFR §1.56(a). While the information referred to in this Supplemental Information Disclosure Statement may be material pursuant to 37 CFR §1.56(b), the filing of this Supplemental Information Disclosure Statement is not intended to, pursuant to 37 CFR §1.97(h), constitute an admission that any patent, publication, or other information referred to is, or is considered to be, material to the patentability of this invention. No representation is made that a reference is "prior art" within the meaning of 35 U.S.C. §§ 102 and 103, and Applicants reserve the right, pursuant to 37 C.F.R. § 1.131 or otherwise, to establish otherwise. Further, pursuant to 37 CFR §1.97(g), the filing of this Statement should not be construed as a statement that a search has been made or that no other material information exists.




No. 10/658,218
Docket OPI 0008 PA/41004.16

This Information Disclosure Statement is being filed within the period set forth in 37 CFR §1.97(b) because it is believed to be filed before the mailing date of a first office action on the merits.

The undersigned attorney certifies that each item of information contained in this Supplemental Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.

The Office has waived the requirement pursuant to 37 CFR 1.98 (a)(2)(i) for submitting a copy of each cited U.S. patent and each U.S. patent application publication for all U.S. national patent applications filed after June 30, 2003 and for all international applications that have entered the national stage under 35 USC § 371 after June 30, 2003. Therefore, no copies of each cited U.S. patent and each cited U.S. patent application publication are enclosed, but the cited U.S. patents and the cited U.S. patent application publications are listed on PTO/SB/08A. Also enclosed is a copy of the PCT International Search Report dated July 22, 2004.

Respectfully submitted,
DINSMORE & SHOHL LLP

By 
James E. Beyer
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JEB/AMS
Encls.

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PTO/SB/08A (08-03)

Approved for use through 07/31/2006. OMB 0651-0031

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Sheet

1

of

2

Application Number

10/658,218

Filing Date

September 9, 2003

~~First Named Inventor~~

Richard W. Ridgway

Art Unit

2874

Examiner Name

Attorney Docket Number

OPI 0008 PA/41004.16

U. S. PATENT DOCUMENTS

[illegible]

FOREIGN PATENT DOCUMENTS

| FOREIGN PATENT DOCUMENTS | | | | | | |
|--------------------------|-----------------------|---|--------------------------------|---|---|----------------|
| Examiner Initials* | Cite No. ¹ | Foreign Patent Document | Publication Date MM-DD-YYYY | Name of Patentee or Applicant of Cited Document | Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear | T ⁶ |
| | | Country Code ³ *Number ⁴ *Kind Code ⁵ (if known) | | | | |
| | | JP 2000249994 (abstract) and | 9-14-2000 | Fujitsu Ltd. | | |
| | | corresponding US 2003/0091259 | 5-15-2003 | Ooi et al | | |
| | | EP 0 444 688 A2 | 9-4-1991 | Fujitsu Ltd. | | |
| | | GB 2 371 431 A | 7-24-2002 | Marconi Comm. Ltd. | | |
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|-----------------------|--|--------------------|--|
| Examiner Signature | | Date Considered | |
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This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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PTO/SB/08B (08-03)
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|---|---|----|---|--------------------------|----------------------|
| INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use as many sheets as necessary) | | | | Complete if Known | |
| | | | | Application Number | 10/658,218 |
| | | | | Filing Date | September 9, 2003 |
| | | | | First Named Inventor | Richard W. Ridgway |
| | | | | Art Unit | 2874 |
| | | | | Examiner Name | |
| Sheet | 2 | of | 2 | Attorney Docket Number | OPI 0008 PA/41004.16 |

| NON PATENT LITERATURE DOCUMENTS | | | |
|---------------------------------|-----------------------|---|----------------|
| Examiner Initials* | Cite No. ¹ | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published. | T ² |
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| | | TENG C C: "Traveling-wave polymeric optical intensity modulator with more than 40 GHZ of 3-DB electrical bandwidth", Applied Physics Letters, American Institute of Physics, New York, US, Vol. 60, No. 13, 30 March 1992, pgs. 1538-1540. | |
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| Examiner Signature | | Date Considered | |
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